Due Date: February 21, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paul H. Phibbs, Jr.

Examiner:

Andrew J. Rudy

Scrial No.:

09/943,060

Group Art Unit:

3627

Filed:

August 30, 2001

Docket

9391

Title:

CAPITAL ALLOCATION IN A NET INTEREST REVENUE IMPLEMENTATION FOR

FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office

on February 11, 2004.

Name: George H. Gat

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- Amendment Under 37 C.F.R. §1.111.
- Petition for Extension of Time under 37 C.F.R. 1.136 for 1 months.
- Charge the Extension Fee in the amount of \$110.00 to the Deposit Account.
- Two (2) Declarations of Paul H. Phibbs, Jr. Under 37 C.F.R. 1.132.

CLAIMS PRESENT

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|--|-------------------------------------|-----------------|---|---------|---|--------|
| Claims Remaining: | Highest Number Previously Paid For: | Number Extra | | Rate | | Fee |
| Total Claims | | | | | | |
| 15 | 20 | 0 | х | \$18.00 | = | \$0.00 |
| Independent Claims | | | | | | |
| 3 | 3 | 0 | X | \$86.00 | = | \$0.00 |
| MULTIPLE DEPENDENT CL | AIM FEB | | | | | \$0.00 |
| TOTAL FILING FEE | | | | | | \$0.00 |

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: George H. Gates Reg. No.: 33,500

GHG/sim

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Gates & Cooper up

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TO: Commissioner for Patents

Attn: Examiner Andrew J. Rudy

Patent Examining Corps

Facsimile Center

Alexandria, VA 22313-1450

FROM:

George H. Gates

OUR REF .: 9391

TELEPHONE: (310) 642-4146

Total pages, including cover letter: 21

PTO FAX NUMBER: (703) 872-9306

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

| Title of Document Transmitted: | AMENDMENT UNDER 37 C.F.R. §1.111; TWO (2) DECLARATIONS OF PAUL H. PHIBBS, JR. UNDER 37 C.F.R. §1.132; PETITION FOR EXTENSION OF TIME; AND AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT NO. 50- 1673 OF NCR IN THE AMOUNT OF \$110 FOR EXTENSION FEE. |
|-----------------------------------|---|
| Applicant | Paul H. Phibbs, Jr. |
| Serial No.: | 09/943,060 |
| Filed: | August 30, 2001 |
| Group Art Unit: | 3627 |
| Our Ref. No.: | 9391 |

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation, the assignee of the present application.

Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

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G&C 30145.404-US-U1